

**SUBSTITUTE DECLARATION OF INVENTORSHIP and
LIMITED POWER OF ATTORNEY**

As below named inventors, we believe that we are the joint inventors of the invention entitled "Embolectomy Catheters and Methods for Treating Stroke and Other Small Vessel Thromboembolic Disorders," which is described and claimed in Application No. 09/437,530 filed November 10, 1999 and for which a patent is sought. Our residence, post office address and citizenship are as stated below next to our names.

We have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to herein.

We acknowledge our duty under Title 37, Code of Federal Regulations § 1.56(a) to disclose information which is material to the patentability of the invention we are claiming.


☒ I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

09/071,561, filed May 1, 1998, which was pending on the date of the filing of this application on November 10, 1999.

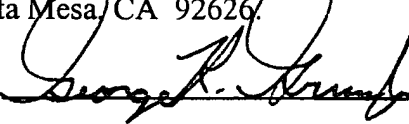
As a named inventors and until we assign our rights to the invention, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith on my behalf: DONALD E. STOUT, Reg. No. 34,493; FRANK J. UXA, Reg. No. 25,612; ROBERT D. BUYAN, Reg. No. 32,460; KENTON R. MULLINS, Reg. No. 36,331; JO ANNE M. YBABEN, Reg. No. 42,243; LINDA ALLYSON FOX, Reg. No. 38,883, KYLE D. YESLAND, Ph.D., Reg. No. 45,526, GREG S. HOLLRIGEL, Ph.D., Registered Patent Agent No. 45,374 and LOUISE S. HEIM, Registered Patent Agent No. 32,337. **Send correspondence and direct telephone calls to: ROBERT D. BUYAN, Stout, Uxa, Buyan & Mullins, LLP, 4 Venture, Suite 300, Irvine, CA 92618, Telephone: (949) 450-1750, Facsimile: (949) 450-1764, E-mail: rbuyan@patlawyers.com.**

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.

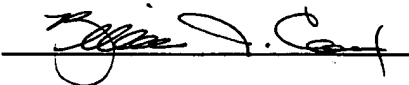
Robert F. Rosenbluth, of Laguna Niguel, California, a citizen of U.S.A. Post office address: 24161 Cherry Hills Place, Laguna Niguel, CA 92677.

Signature:  Date: Oct 1, 2003

George R. Green, Jr., of Costa Mesa, California, a citizen of U.S.A. Post office address: 3019 Java Road, Costa Mesa, CA 92626.

Signature:  Date: Oct 1, 2003

Brian J. Cox, of Laguna Niguel, California, a citizen of U.S.A. Post office address: 3 Novilla, Laguna Niguel, CA 92677.

Signature:  Date: Oct. 2, 2003

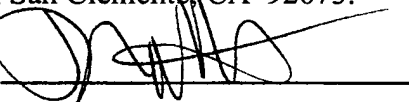
Thomas R. Sternweiler, of Laguna Niguel, California, a citizen of U.S.A. Post office address: ~~30902 Clubhouse Drive, Laguna Niguel, CA 92677.~~ 21521 Kinsale Dr, Lake Forest, CA 92630

Signature: Thomas R. Sternweiler Date: 10/1/03

Sean L. Chow, of Tustin, California, a citizen of U.S.A. Post office address: 2949 Player Lane, Tustin, CA 92782.

Signature:  Date: 10/8/03

Richard R. Monetti, of San Clemente, California, a citizen of U.S.A. Post office address: 2149 Calle Ola Verde, San Clemente, CA 92673.

Signature:  Date: 10/02/03

Attorney Docket No. MCRVT-001B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Robert F. Rosenbluth, et al)	
)	Group Art: 3731
Serial No: 09/437,530)	
)	
Filed: November 10, 1999)	Examiner: TBD
)	
Title: Embolectomy Catheters and)	
Methods for Treating Stroke and Other)	
Small Vessell Thromboembolic)	
Disorders)	

**VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
(37 CFR §§ 1.9(d) and 1.27(c) – SMALL BUSINESS CONCERN**

I hereby declare that I am ☐ the owner of the small business concern identified below, or
☒ an official of the small business concern empowered to act on behalf of the concern
identified below.

Name of concern: **MicroVenton, Inc.**

Address of concern: **72 Argonaut, Aliso Viejo, California 92656**

The above identified small business concern qualifies as a small business concern under 37 CFR § 1.9. (Under this regulation, in order to be a small business, the number of employees of the entity, including those of its affiliates, may not exceed 500 persons. Concerns are affiliates of each other when either, directly or indirectly, one concern has the power to control the other, or a third party or parties controls or has the power to control both. The number of employees of a business concern is determined by counting the number of persons of the concern and its affiliates employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern and its affiliates. The number of employees is average over the fiscal year of the persons employed during each of the pay periods of the fiscal year.)

Rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above-identified invention.

☐ The right held by the above identified small business concern is not exclusive. Each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR § 1.9(c) or by any concern which would

not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

Full name:

Address:

☐ individual ☐ small business concern ☐ nonprofit organization.

I acknowledge the duty to file in this application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.

Name of person signing: **Robert F. Rosenbluth**

Title of person other than owner: **President**

Address of person signing: **72 Argonaut, Aliso Viejo, CA 92656**

Signature: Robert F. Rosenbluth Date: 2/3/00

Attorney Docket No. MCRVT-001B
Serial No. 09/437,539

DECLARATION OF INVENTORSHIP and LIMITED POWER OF ATTORNEY

As below named inventors, we believe that we are the joint inventors of the invention entitled "Embolectomy Catheters and Methods for Treating Stroke and Other Small Vessel Thromboembolic Disorders," which is described and claimed in Application No. 09/437,530 filed November 10, 1999 and for which a patent is sought. Our residence, post office address and citizenship are as stated below next to our names.

We have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to herein.

We acknowledge our duty under Title 37, Code of Federal Regulations § 1.56(a) to disclose information which is material to the patentability of the invention we are claiming.

☐ I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: [Parent serial no.], filed [Parent filing date], now [Parent status].

As a named inventors and until we assign our rights to the invention, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith on my behalf: DONALD E. STOUT, Reg. No. 34,493; FRANK J. UXA, Reg. No. 25,612; ROBERT D. BUYAN, Reg. No. 32,460; KENTON R. MULLINS, Reg. No. 36,331; PHILIP H. HAYMOND, Reg. No. 38,177; GUY CUMBERBATCH, Reg. No. 36,114; JO ANNE M. YBABEN, Reg. No. 42,243. Send correspondence and direct telephone calls to: ROBERT D. BUYAN, Stout, Uxa, Buyan & Mullins, LLP, 4 Venture, Suite 300, Irvine, CA 92618, Telephone: (949) 450-1750, Facsimile: (949) 450-1764, E-mail: rbuyan@patlawyers.com.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon

Robert F. Rosenbluth, of Laguna Niguel, California, a citizen of U.S.A. Post office address: 24161 Cherry Hills Place, Laguna Niguel, CA 92677.

Signature: Robert F. Rosenbluth Date: 02/03/00

George R. Green, Jr., of Costa Mesa, California, a citizen of U.S.A. Post office address: 3019 Java Road, Costa Mesa, CA 92626.

Signature: George R. Green, Jr. Date: 02/03/2000

Brian J. Cox, of Laguna Niguel, California, a citizen of U.S.A. Post office address: 3 Novilla, Laguna Niguel, CA 92677.

Signature: Brian J. Cox Date: 2/3/2000

Thomas R. Sternweiler, of Laguna Niguel, California, a citizen of U.S.A. Post office address: 30902 Clubhouse Drive, Laguna Niguel, CA 92677.

Signature: Thomas Sternweiler Date: 2/3/00

Sean L. Chow, of Tustin, California, a citizen of U.S.A. Post office address: 2949 Player Lane, Tustin, CA 92782.

Signature: Sean L. Chow Date: 2/3/00

Richard R. Monetti, of San Clemente, California, a citizen of U.S.A. Post office address: 2149 Calle Ola Verde, San Clemente, CA 92673.

Signature: Richard R. Monetti Date: 2/3/2000